

# Michael T. Yest

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## RESEARCH INTERESTS

**Investments:** Mutual fund management dynamics, Separately managed accounts vs. mutual funds, Mutual fund manager turnover, Portfolio manager incentives, Wrap-account dynamics.

## EDUCATION

- Ph.D. in Finance, August 2007, Tulane University, New Orleans, LA
  - Dissertation: “An Analysis of Portfolio Management Structures within Mutual Funds”
- M.B.A., May 1993, University of South Florida, Tampa, FL
  - Honors Graduate
- B.B.A. (Finance/Banking), May 1991, Stetson University, DeLand, FL
  - Honors Graduate

## TEACHING EXPERIENCE

*Tulane University, A.B. Freeman School of Business, New Orleans, Louisiana.*

- Clinical Professor (Finance), July 2008 - present
- Instructor (Finance), August 2007 – June 2008
- Adjunct Professor (Finance), September 2002 - July 2007
  - Undergraduate Courses Taught:
    - ✓ Investments in Equities
    - ✓ Financial Management
    - ✓ Advanced Financial Management
    - ✓ Fixed Income Analysis (formerly Financial Markets)
    - ✓ TIDES for Business
  - Graduate Courses Taught:
    - ✓ Financial Options
    - ✓ Portfolio Theory
    - ✓ Financial Management II

*University of South Florida, College of Business Administration, Tampa, Florida.*

- Adjunct Professor, Summer 2000, Introduction to Financial Management (Undergraduate)
- Teaching Assistant, Fall 1992 - Spring 1993, Introduction to Financial Management (Undergraduate)

## **TEACHING HONORS**

- Spring 2008 Named by Newcomb College Institute as “Favorite Tulane Faculty”
- Fall 2007 Top student-ranked professor in the Executive MBA program
- Spring 2007 BSM Teacher Honor Roll, A.B. Freeman School of Business, Tulane University
- Fall 2004 BSM Teacher Honor Roll, A.B. Freeman School of Business, Tulane University
- Fall 2002 BSM Teacher Honor Roll, A.B. Freeman School of Business, Tulane University
- Excellent student evaluations available upon request from both Tulane and the University of South Florida

## **PROFESSIONAL EXPERIENCE / CONSULTING**

*Deane Retirement Strategies, Inc., (formerly Raymond James), New Orleans, LA*

- 2001 - Present. Assistant Chief Compliance Officer, Retirement & Estate Planning Analyst.

Conduct detailed analysis on the feasibility of meeting retirement and estate planning goals for high net worth clientele. Facilitate the on-going registration and maintenance of the compliance needs for a Registered Investment Advisor with \$100 million of assets under management.

*Raymond James Financial, Inc., Asset Management Services, St. Petersburg, FL*

- 1996 – 2000. Assistant Vice President, Due Diligence Officer, Department Trainer.

Performed detailed research and analysis on universe of private asset managers. Monitored 35 managers operating within a sponsored wrap program. Over \$10 billion in assets under management.

- 1993 – 1996. Assistant Vice President, Operations Manager, Department Trainer.

Managed a team of 40 associates in the operations department for Raymond James' separately managed accounts subsidiary. Designed and executed training courses for each operational position.

## **ACHIEVEMENTS**

- Series 65 licensed
- Community Service Volunteer (Meals on Wheels, United Way, American Cancer Society, New Orleans Outreach)